BLAKELAW

NORTH FENHAM

**1**

TOGETHER AS

COUNCIL

COMMUNITY

**Blakelaw and North Fenham Community Council**

**Risk Assessment and Management 2018/19**

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| Area  | Risk | Level | Control *(and agreed improvements)* |
| **Assets** | Protection of physical assets  | M | Computer equipment insured |
|  | Security of equipment  | M | Clerks home is used as an office, all equipment and paperwork is locked away, contents insurance in place |
|  | Maintenance of Building  | N/A | No building to maintain, currently use the Community Room at Moorland House for meetings. The building is maintained by Your Homes Newcastle  |
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| **Finance** | Banking  | L | Very few deposits and never cash deposits; cash in transit and petty cash insurance cover in place |
|  | Loss of petty cash through theft of dishonesty | L | No petty cash kept |
|  | Financial controls and records  | M | Online banking now being used as a preference rather than cheque payments. Online payment authorisation in place.Two signatories on cheques. Internal and external audit, insurance cover in place Financial transactions checked periodically by two Community Councillors |
|  | Comply with Customs and Excise Regulations  | M | VAT payments and claims calculated by Clerk and reviewed by internal auditor  |
|  | Sound budgeting to underlie annual precept | M | Budgets prepared by Clerk based on previous years trends and known variations. Budgets regularly monitored and reported to Community Council for approval. Reserves policy established and monitored |
|  | Comply with borrowing restrictions  | L | No borrowing likely at present  |
| **Business Continuity**  | Risk of Council not being able to continue business due to unforeseen circumstances  | M | ***Chair updated on current issues regularly*** (b) Loss of computer records ***Computer data is regularly backed up to external hard drive***  |
| **Liability** | Risk to third party, property or individuals  | M | 1. Insurance in place for Public Liability, Personal Accident (for employees and members) and Libel and Slander risks
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| **Employer Liability**  | Comply with Employment Law | M | 1. Membership of NALC which provides updates on all relevant topics
2. City Council advice and updates
3. Employers Liability Insurance cover
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|  | Comply with Inland Revenue Requirements | M | Regular advice from Inland Revenue. Internal Auditor carries out annual checks  |
|  | Safety of staff and visitors  | M | Use the Community Room at Moorland House for meetings. The building is maintained by Your Homes Newcastle |
|  |  |  |  |
| **Legal Liability** | Ensuring activities are within legal powers  | M | Legal advice to be sought where necessary  |
|  | Proper and timely reporting via the minutes  | M | Council meets monthly and receives and approves Minutes of meetings. Minutes made available to press and public via the City Council web site |
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| **Councillor Propriety** | Register of Interests and gifts and hospitality in place  | L | 1. Declarations of interest are on every Council meeting agenda
2. Register of interests is completed annually and on the introduction of a new member
3. Gifts and hospitality register maintained
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This Risk Management paper was considered by the Community Council on the 14 March 2019 and will be reviewed again in 12 months