**Core Unit 3 – Running a Workplace Pension Scheme**

**Assignment 1**

(Part 1 – Design and Part 2 – Automatic Enrolment)

Recommended Time: 1 Hour

1. **List the criteria required in order for a defined contribution scheme to be treated as a qualifying scheme for the purposes of automatic enrolment.**

**10 marks**

1. **Explain what a “Code of Practice” is and how their application to pension schemes may differ.**

**5 marks**

1. **Although online switching is becoming a more common facility for members of defined contribution schemes, outline the reasons why real time online switching is not a standard approach .**

**10 marks**

1. **An employer’s automatic enrolment duties include providing certain information to their workers within prescribed time limits. Outline the requirements in relation to the information for jobholders about being automatically enrolled, re-enrolled or joining.**

**5 marks**

1. **List five types of “qualifying” earnings.**

**5 marks**

1. **List the advantages to an employer of using middleware as an automatic enrolment tool?**

**5 marks**

1. **The Pensions Regulator (TPR) has issued guidance about the data that pension schemes need to hold on their records. Outline the key features of the TPR’s guidance and list the common data for all schemes.**

**10 marks**